QA/COMPLIANCE

JESUS OZEDA

QUALITY ASSURANCE & COMPLIANCE TEAM

Jesus Ozeda,
Quality Assurance & Compliance Supervisor

Direct line: 626-248-3731

Email: jozeda@elarc.org

Araceli Anguiano,
Quality Assurance & Compliance Specialist

Direct line: 626-299-4653

Email: aanguiano@elarc.org

Kristine Cheung,
Quality Assurance & Compliance Specialist

Direct line: 626-248-4977

Email: kcheung@elarc.org

Joyce Miramontes,

Quality Assurance & Compliance Specialist

Direct line: 626-299-4816

Email: jmiramon@elarc.org

Martin Mercado,
Quality Assurance & Compliance Specialist

Direct line: 626-299-4839

Email: <u>mmercado@elarc.org</u>

Nydia Valles,
Rates and Vendorization Coordinator

Direct line: 626-299-4710

Email: <u>nydiavalles@elarc.org</u>

Overview

- Investigations
- ▶ Technical Assistance
- Facility Monitoring Reviews (FMR's)
- Unannounced Visits (UV's)
- ► Review SIR's
- Special Projects
- Compliance

GALLAGHER

Email: certificates@elarc.org

Business license

Professional license (if applicable)

Facility license (if applicable)

Workers Compensation

Certificate of Insurance (COI)

General liability

Professional liability (if applicable)

Sexual Harassment

Auto (if applicable)

Abuse & Molestation

VENDOR INDEPENDENT FINANCIAL STATEMENTS

- Welfare & Institutions Code 4652.5
 - 2 million or more, requires Independent Audit
 - 500k 1.99 Million, requires Independent Review
 - Deadline to submit reports 9/1/23
 - If an extension is needed please contact Nydia Valles – nydiavalles@elarc.org

VENDOR INDEPENDENT FINANCIAL STATEMENTS

	ion for Vendor Independent al Statement Extension
rnance	ii statement extension
Vendor Name:	
Vendor Number(s):	
Year of most recent Independent Financial Statement submitted:	
Year of Independent Financial	Extension deadline
Statement requesting extension for:	being requested:
: Eastern Los Angeles Regional Cer	ater (ELARC)
	Garage Control Control
I am applying for a Vendor Independent Report requirement for the above listed e	Hinancial Statement Extension. http://pursuant.to.Welfare.&.InstitutionsCode.(WIC)Section
4652.5.	
Lunderstand that if approved, this extens	sionwill expire within the same calendar year/fiscal year.
I understand that if approved, this extent I understand that after the extension expir	es, I will be subject to the Vendor Independent Financial
I understand that if approved, this extens I understand that after the extension expir Statement requirement in accordance with	es, i will be subject to the Vendor Independent Financial th WKC Section 4652.5.
I understand that if approved, this extern I understand that after the extension expir Statementrequirement in accordance wit I understand that this extension may be	es, I will be subject to the Vendor Independent Financial
I understand that if approved, this extern I understand that after the extension expir Statementrequirement in accordance wit I understand that this extension may be	es, I will be subject to the Vendor Independent Financial h WIC Section 4652.5. revoked or withdrawn at any time if ELARC obtains information
I understand that if approved, this exten I understand that after the extension expir Statement requirement in accordance wit I understand that this extension may be that would change my eligibility for the	es, I will be subject to the Vendor Independent Financial h WIC Section 4652.5. revoked or withdrawn at any time if ELARC obtains information
I understand that if approved, this exten I understand that after the extension expir Statement requirement in accordance wit I understand that this extension may be that would change my eligibility for the	es, I will be subject to the Vendor Independent Financial h WIC Section 4652.5. revoked or withdrawn at any time if ELARC obtains information
I understand that if approved, this exten I understand that after the extension expir Statement requirement in accordance wit I understand that this extension may be that would change my eligibility for the	es, I will be subject to the Vendor Independent Financial h WIC Section 4652.5. revoked or withdrawn at any time if ELARC obtains information
I understand that if approved, this exten I understand that after the extensioneysin Salementreguirement in accordance wit I understand that this extension may be that would change my eligibility for the granted in error.	is, I will be subject to the Vendor Independent Financial h MVC Section 4662.5 revolved or withdrawn at any time if ELARC obtains information extension or if ELARC determines that the extension was
I understand that if approved, this exten I understand that after the extensioneysin Salementreguirement in accordance wit I understand that this extension may be that would change my eligibility for the granted in error.	is, I will be subject to the Vendor Independent Financial h MVC Section 4662.5 revolved or withdrawn at any time if ELARC obtains information extension or if ELARC determines that the extension was
I understand that if approved, this exten in understand that after the extensional state of the extension of the state of the extension of the extension of the understand that this extension may be that would change my eligibility for the granted in error. uthorized Signature	es, I will be subject to the Vendor Independent Financial h WIC Section 4652.5. Even Section 4652.5. Even Section 4652.5. Even Section 4652.5. Even Section 4652.5. Today's Date
I understand that if approved, this exten I understand that after the extensioneysin Salementreguirement in accordance wit I understand that this extension may be that would change my eligibility for the granted in error.	is, I will be subject to the Vendor Independent Financial h MVC Section 4662.5 revolved or withdrawn at any time if ELARC obtains information extension or if ELARC determines that the extension was
I understand that if approved, this exten I understand that after the extensioneys Salamentregulement in accordance with I understand that this extension may be that would change my eligibility for the granted in error.	s, I will be subject to the Vendor Independent Financial h WK Section 4652.5. revolved or withdrawn at any time if ELARC obtains information extension or if ELARC determines that the extension was Today's Date Title
I understand that if approved, this exten I understand that after the extension expiration Statement requirement in accordance with I understand that this extension may be that would change my eligibility for the granted in error. uthorized Signature uthorized Signature LARC will complete the section below and s	es, I will be subject to the Vendor Independent Financial h WIC Section 4652.5. Even Section 4652.5. Even Section 4652.5. Even Section 4652.5. Even Section 4652.5. Today's Date
I understand that if approved, this exten I understand that after the extensioneys Salamentregulement in accordance with I understand that this extension may be that would change my eligibility for the granted in error.	s, I will be subject to the Vendor Independent Financial h WK Section 4652.5. revolved or withdrawn at any time if ELARC obtains information extension or if ELARC determines that the extension was Today's Date Title
I understand that if approved, this exten I understand that after the extension expiration Statement requirement in accordance with I understand that this extension may be that would change my eligibility for the granted in error. uthorized Signature uthorized Signature LARC will complete the section below and s	s, I will be subject to the Vendor Independent Financial h WK Section 4652.5. revolved or withdrawn at any time if ELARC obtains information extension or if ELARC determines that the extension was Today's Date Title
I understand that if approved, this exten I understand that after the extension expiration Statement requirement in accordance with I understand that this extension may be that would change my eligibility for the granted in error. uthorized Signature uthorized Signature LARC will complete the section below and s	s, I will be subject to the Vendor Independent Financial h WK Section 4652.5. revolved or withdrawn at any time if ELARC obtains information extension or if ELARC determines that the extension was Today's Date Title
I understand that if approved, this exten I understand that after the extension expiration Statement requirement in accordance with I understand that this extension may be that would change my eligibility for the granted in error. uthorized Signature uthorized Signature LARC will complete the section below and s	s, I will be subject to the Vendor Independent Financial h WK Section 4652.5. revolved or withdrawn at any time if ELARC obtains information extension or if ELARC determines that the extension was Today's Date Title
Junderstand that if approved, this exten in funderstand that after the extensional statement requirement in accordance with Junderstand that this extension may be that would change my eligibility for the granted in error. uthorized Signature trint Name LARC will complete the section below and spiciation.	s, I will be subject to the Vendor Independent Financial h MVK Section 4662.5 revolved or withdrawn at any time if ELARC obtains information extension or if ELARC determines that the extension was Today's Date Title ubmit a decision to you within 30 days from receipt of your
I understand that if approved, this exten I understand that after the extension expiration Statement requirement in accordance with I understand that this extension may be that would change my eligibility for the granted in error. uthorized Signature uthorized Signature LARC will complete the section below and s	s, I will be subject to the Vendor Independent Financial h MVK Section 4662.5 revolved or withdrawn at any time if ELARC obtains information extension or if ELARC determines that the extension was Today's Date Title ubmit a decision to you within 30 days from receipt of your

EASTERN LOS ANGELES REGIONAL CENTER 1000 S. Fremont Ave. · P.O. Box 7916 · Albambos, CA 91802-7916 · (626) 299-4700 · FAX (626) 281-1163 Application for Two-Year Exemption from Independent Audit Report Requirement Vendor Name Tax Identification Number: Vendar Number(s): Fiscal Year End date: Report type: Eastern Los Angeles Regional Center (ELARC) 1) I am applying for a two-year exemption from the independent audit or independent review 2) Report requirement for the above listed entity pursuant to Welfare & Institutions Code (WIC), Section 3) Tunderstand that if approved, this exemption expires two years from the fiscal year end specified above. 4) I understand that after the expiration of the two-year exemption, I will be subject to the independent audit or independent review requirement in accordance with WIC, Section 4652.5. 5) Lunderstand that this exemption may be revoked or withdrawn at any time if ELARC obtains information that would change my eligibility for the two-year exemption or if ELARC determines that the two-year exemption was granted in error. Authorized Signature Today's Date ELARC will complete the section below and submit a decision to you within 30 days from receipt of your ELARC DECISION Exemption Granted for Fiscal Year Ending QA/ Compliance Date: Supervisor

Program Evaluation Summaries

Tittle 17 Section 56732 (c)

- Each fiscal year the vendor shall conduct an annual review of its program's effectiveness in relation to the program design. This shall include a documented review of:
- 56712(a)(1)(B) of these regulations; and
 Aggregate data on progress in relation to the

The program's objectives as required in Section

- Aggregate data on progress in relation to the IPP objectives for which the vendor is responsible.
- The vendor shall have a written evaluation design specifying:
- The vendor shall submit to the vendoring regional center, user regional centers and the Department a written summary of the annual program evaluation which shall be maintained in the vendor file at the vendoring regional center and at the Department. The vendor shall maintain on file pursuant to Section 56728 of these regulations the full program evaluation for review by the regional center and the Department.
- The purpose of the evaluation;
- The type of data to be collected and used;
- The frequency of data collection;
- Data collection and analysis methods;
- A description of the distribution, communication of, and actions taken upon the results of the evaluation;
- The frequency of evaluations; and
- The reason this particular evaluation design was selected and how it relates to program objectives.

Program Evaluation Summaries

Application for Program Evaluation Extension	
Extension	
Vendor Name:	T
Vendor Number(s):	
Year of most recent Program	
Evaluation Submitted:	
Year of Program Evaluation requesting Extension deadline extension for: Extension deadline being requested:	
extension for: being requested:	
I am applying for a Program Evaluation Extension. Report requirement for the above listed entity pursuant to Tittle 17, Section 56732.	
3) I understand that if approved, this extension will expire within the same calendar year	
4) I understand that after the extension expires, I will be subject to the Program Evaluation	requirement in
accordance with Tittle 17, Section 56732.	L
 Tunderstand that this extension may be revoked or withdrawn at any time if ELARCo that would change my eligibility for the extension or if ELARC determines that the 	
granted in error.	
8	
Authorized Signature Today's Date	
Print Name Title	
Print Name Title	
ELARC will complete the section below and submit a decision to you within 30 days from	receipt of your
	receipt of your
ELARC will complete the section below and submit a decision to you within 30 days from	receipt of your
ELARC will complete the section below and submit a decision to you within 30 days from	receipt of your
ELARC will complete the section below and submit a decision to you within 30 days from application.	receipt of your
ELARC will complete the section below and submit a decision to you within 30 days from	receipt of your
ELARC will complete the section below and submit a decision to you within 30 days from application. ELARC DECISION	receipt of your
ELARC will complete the section below and submit a decision to you within 30 days from application.	receipt of your
ELARC will complete the section below and submit a decision to you within 30 days from application. ELARC DECISION	
ELARC will complete the section below and submit a decision to you within 30 days from application. ELARC DECISION Extension Denied	

QUESTIONS?

- Contact Information
 - Jesus Ozeda, QA/Compliance Supervisor
 - Direct line: 626-248-3731
 - Email: jozeda@elarc.org

